

Piper Jaffray Corporate Giving and Community Support Funding Guidelines

Mission

Piper Jaffray funds community charitable organizations that Piper Jaffray and its employees select and designate, which are typically located where our employees live and work. We encourage our employees to engage in community service and Piper Jaffray provides financial support for employees' volunteerism and contributions to community charitable organizations.

Focus

Community Investment and Sustainability: We believe that there are multiple systems that make up and enhance the quality of life in a sustainable vibrant healthy community.

- We are dedicated to the idea that community investment & education build strong economies.
- As one of our key businesses, we support certain nonprofit health research initiatives.
- We believe arts and culture are crucial for vibrant community life.

Funding Guidelines

Impact Areas:

- Programs will have special emphasis on future generations and/or those at-risk.
 - Programs must be innovative, collaborative and implemented through organizations that support a significant component of the local population in our key markets of business and employee presence.
1. Organizations and programs that support community sustainability through community investment.
Specifically, community investment in neighborhood-based, small business programs in low-income areas that promote sustainable employment and replicable success.
 2. Education initiatives that directly support the economic health of individuals and communities through the following:
 - a) Science, math, engineering or technology (STEM) programs for diverse student groups.
 - b) Economic education emphasizing economics and emerging industries [*excludes* "personal finance" and "money management" education].
 - c) Access-to-higher education programs for disadvantaged youth.
 3. Major arts and cultural organizations that enhance the quality of life in the community.
Specifically, we choose to support arts and cultural organizations through sponsorship. Please see sponsorship funding guidelines for more details.

Other Priorities:

- We are committed to our communities' health and environmental sustainability and as such, provide support for a) innovative programs to develop and track new advances in children's chronic health concerns and b) umbrella programs which support innovation and entrepreneurship to leverage environmental opportunities. *Note: We do not accept unsolicited requests in these areas.*
- An engaged citizenry is a productive one. Specifically, we fund initiatives that encourage civic engagement of young people.

We will not consider:

- Capital campaign funding
- Organizations that are not tax-exempt under Internal Revenue Code section 501(c)(3) or the equivalent
- Organizations that do not serve communities where Piper Jaffray has a business presence
- Individuals or groups seeking support for planning, personal needs or travel
- Public service or political campaigns
- Lobbying, political or fraternal activities
- Organizations receiving primary funding from United Way
- Athletic or pageant scholarships
- Religious activities or religious purposes
- Publications, audio-visual

Grant Application Procedures and Requirements

The application cycle is by **invitation only**. Grant decisions and funding will occur by early November.

Piper Jaffray will provide support for a limited number of programs or projects that serve our communities and fall within our guidelines. Priority will be given to organizations that meet our guidelines and involve our employees.